FORM 9

NOTICE OF CHANGE OF PLACE OF BUSINESS AND CHANGE OF PLACE AT WHICH REGISTER IS KEPT

THE CAPITAL MARKETS AND SECURITIES ACT (CAP. 79)

(Section 44 and Regulation 15)

1. Name of licensee:
2. Type of licence and licence number:
3. (a) Former address of place of business:
(b) New address of place of business:
(c) Date of change of place of business:
4. (a) Former address of place at which register was kept:
(b) New address of place at which register is kept:
(c) Date of change of address of place at which register is kept
Dated this day of 20

Signature
Name
Capacity